PTO/SB/08A (10-01)
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	Substitute for fo	orm 1449	VPTO		Complete if Known	
INIEO		ים ו	CLOSURE	Applicati n No.	09/719,339	
_				Filing Dat	March 5, 2002	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Eric D. Schneider	
				International Application Filing Date	April 24, 2000	
				Art Unit	2184	
		Examiner Name	Not yet known			
Sheet	1	of	3	Attorney Docket Number	20423-07924	

			U.S. PATI	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	А	US-6,363,487	03-26-2002	Schneider
	В	US-6,240,527	05-29-2001	Schneider et al.
	С	US-6,199,178	03-06-2001	Schneider et al.
	D	US-6,016,553	01-18-2000	Schneider et al.
	E	US-6,012,145	01-04-2000	Mathers et al.
	F	US-5,982,886	11-09-1999	Itami et al. SEP 2 4-2003
	G	US-5,933,368	08-03-1999	Ma et al. Technology: Center: 2100
	н	US-5,835,953	11-10-1998	Ohran
	ı	US-5,802,264	09-01-1998	Chen et al.
	J	US-5,778,392	07-07-1998	Stockman et al.
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	w	US-5,404,361	04-04-1995	Casorso et al.
	Х	US-5,381,545	01-10-1995	Baker et al.

Examiner Signature	Date Considered	
J.g. ata. c		

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO C mplete if Known Applicati n N . 09/719,339 INFORMATION DISCLOSURE Filing Dat March 5, 2002 STATEMENT BY APPLICANT First Nam d Inv ntor Eric D. Schneider International April 24, 2000 **Application Filing Date** Art Unit 2184 **Examiner Name** Not yet known

Attorney Docket Number

3

Sheet

2

20423-07924

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	Document No.			
Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
Υ	US-5,339,406	08-16-1994	Carney et al.	
Z	US-5,331,646	07-19-1994	Krueger et al.	
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	Y Z AA BB CC	Cite No.¹ Number – Kind Code² (if known) Y US-5,339,406 Z US-5,331,646 AA US-5,325,519 BB US-5,297,258 CC US-5,255,270	Document No. Cite No.¹ Number – Kind Code² (if known) Y US-5,339,406 Z US-5,331,646 AA US-5,325,519 BB US-5,297,258 CC US-5,255,270 Date MM-DD-YYYY D3-1994 08-16-1994 07-19-1994 06-28-1994 D3-22-1994 CC US-5,255,270 10/19/1993	

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	Foreign Patent Document		Center 2
Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patente (Scimology Center 2100) Applicant of Cited Document
EE	EP 0751462 B1	07-31-2002	Kabushiki Kaisha Toshipba
FF	EP 1091299 A2 •	04-11-2001	Wild File Inc.
GG	WO 01/33357 A1	05-10-2001	Wild File, Inc.
НН	WO 01/04801 A1 -	01-18-2001	Wild File, Inc.
11	WO 00/65447	11-02-2000	Wild File, Inc.
JJ	WO 96/12232 •	04-25-1996	Vinca Corporation et al.
KK	WO 91/01026 -	01-24-1991	Intelligence Quotient International Limited
	No.1 EE FF GG HH II	Cite No.1 Country Code3 - Number4 Kind Code5 (if known) EE EP 0751462 B1 FF EP 1091299 A2 • GG WO 01/33357 A1 • HH WO 01/04801 A1 • II WO 00/65447 • JJ WO 96/12232 •	Foreign Patent Document

		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	
· _	LL	Rodriguez-Rivera et al., Proceedings of the International Symposium on Memory Management, Vancouver, Canada,	
		October 17-19, 1998, pages 79-85, especially Figure 3 and pages 82-83 - footprint reduction	

Examiner	Date	
Signature	Considered	
Signature	Considered	
	i	

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application No.	09/719,339	
STATEMENT BY APPLICANT	Filing Dat	March 5, 2002	
STATEMENT BY APPLICANT	First Nam d Inv ntor	Eric D. Schneider	
	International Application Filing Date	April 24, 2000	
	Art Unit	2184	
	Examiner Name	Not yet known	
Sheet 3 of 3	Attorney Docket Number	20423-07924	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	MM	International Search Report of PCT/US00/10999, September 1, 2000	
	NN	Hultgren, C.D., "Fault-tolerant Personal Computers Safeguard Critical Applications," I&CS Instruments And Control Systems, Vol. 65, No. 9, Radnor, PA, US, pp. 23-28, (September 1992)	
	00	Robinson, J.T., "Analysis Of Steady-State Segment Storage Utilizations In A Log-Structured File System With Least-Utilized Segment Cleaning," IBM Research Division, T.J. Watson Research Center, October, 1996, pp. 29-32.	
	PP	Green R.J. et al., "Designing a Fast, On-line Backup System For A Log-Structured File System," Digital Technical Journal, vol. 8, no. 2, 1996, pages 32-45.	
	QQ	Declaration of Assignee's Attorney, concerning prior art, dated September 15, 2003	
		RECEIVED	
		SEP 2 4 2003 Technology Center 2100	
		Tachnology Center 2100	

Examiner	Date	
Signature	Considered	
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IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Eric D. Schneider, Michael J. Gustafson & Daniel J. Hagler

SERIAL NO.:

09/719,339

FILING DATE:

March 5, 2002

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INTERNATIONAL

APPLICATION FILING DATE:

April 24, 2000

Technology Center 2100

SEP 2 4 2003

TITLE:

Method And Apparatus For Dealing With Data Corruption And

Shared Disks In The Context Of Saving, Using And Recovering

Data

EXAMINER:

Puente, Emerson C.

GROUP ART UNIT:

2184

ATTY. DKT. NO.:

20423-07924

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Jeffrey Brill, Reg. No.: 51,198

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INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to the provisions of 37 CFR. 1.56 and 1.97-1.98, Applicants hereby cite the references listed on the accompanying PTO-SB/08A without inferring or suggesting, but instead expressly disclaiming any inference or suggestion, that any more pertinent reference exists.

Applicants also enclose herewith copies of the cited references, which are in the English language.

The Commissioner is authorized to charge any fees required in connection with the submission of this IDS to deposit account 19-2555. This sheet is being submitted in duplicate.

Consideration of this listed reference and a Notice of Allowance are respectfully requested.

Respectfully submitted,

Jeffrey Brill

Attorney for Applicants

Reg. No. 51,198

Fenwick & West LLP Silicon Valley Center 801 California Street Mountain View, CA 94041 (650) 335-7291

enclosures

cc: Edward J. Radlo (w/ just PTO-SB/08A)

9/17/03

PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce Application Number 09/719,339 Patent and Trademark Office Filing Date March 5, 2002 International Application April 24, 2000 Filing Date TRANSMITTAL FORM First Named Inventor Eric D. Schneider (to be used for all correspondence during pendency of Group Art Unit Number 2184 filed application) **Examiner Name** Puente, Emerson C. Attorney Docket Number Total Number of Pages in This Submission 20423-07924 RECEIVED **ENCLOSURES** (check all that apply) SEP 2 4 2003 Issue Fee Transmittal Fee Transmittal Form (in duplicate) Check Enclosed Letter to Chief Draftsperson Technology Center 2100 Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [] Sheet(s) of Figure(s) [] Assignment & Recordation Cover Sheet Appeal Communication to Board of Appeals and Interferences Declaration Appeal Communication to Group Power of Attorney (Appeal Notice, Brief, Reply Brief) **Application Data Sheet** Certified Copy of Priority Document(s) Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References A-QQ After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: *Does not include cited reference pages. SIGNATURE OF ATTORNEY OR AGENT Signature: Dated: Jeffrey Brill, Reg. No. 51,198 Attorney/Reg. No.: **CERTIFICATE OF MAILING**

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